

NASH limits anti-tumour surveillance in immunotherapy-treated HCC

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Hepatocellular carcinoma (HCC) can have viral or non-viral causes^{1–5}. Non-alcoholic steatohepatitis (NASH) is an important driver of HCC. Immunotherapy has been approved for treating HCC, but biomarker-based stratification of patients for optimal response to therapy is an unmet need^{6,7}. Here we report the progressive accumulation of exhausted, unconventionally activated CD8⁺PD1⁺ T cells in NASH-affected livers. In preclinical models of NASH-induced HCC, therapeutic immunotherapy targeted at programmed death-1 (PD1) expanded activated CD8⁺PD1⁺ T cells within tumours but did not lead to tumour regression, which indicates that tumour immune surveillance was impaired. When given prophylactically, anti-PD1 treatment led to an increase in the incidence of NASH–HCC and in the number and size of tumour nodules, which correlated with increased hepatic CD8⁺PD1⁺CXCR6⁺, TOX⁺, and TNF⁺ T cells. The increase in HCC triggered by anti-PD1 treatment was prevented by depletion of CD8⁺ T cells or TNF neutralization, suggesting that CD8⁺ T cells help to induce NASH–HCC, rather than invigorating or executing immune surveillance. We found similar phenotypic and functional profiles in hepatic CD8⁺PD1⁺ T cells from humans with NAFLD or NASH. A meta-analysis of three randomized phase III clinical trials that tested inhibitors of PDL1 (programmed death-ligand 1) or PD1 in more than 1,600 patients with advanced HCC revealed that immune therapy did not improve survival in patients with non-viral HCC. In two additional cohorts, patients with NASH-driven HCC who received anti-PD1 or anti-PDL1 treatment showed reduced overall survival compared to patients with other aetiologies. Collectively, these data show that non-viral HCC, and particularly NASH–HCC, might be less responsive to immunotherapy, probably owing to NASH-related aberrant T cell activation causing tissue damage that leads to impaired immune surveillance. Our data provide a rationale for stratification of patients with HCC according to underlying aetiology in studies of immunotherapy as a primary or adjuvant treatment.

Potentially curative treatments for HCC, such as liver transplantation, tumour resection, or ablation, are limited to early-stage tumours^{1,2}. Multikinase inhibitors and anti-VEGF-R2 antibodies have been approved for use in advanced HCC^{1,2}. Immunotherapy, which is thought to activate T cells or reinvigorate immune surveillance against cancer, showed response rates of 15–30% in patients with HCC^{5,8–11}. Nivolumab and pembrolizumab (PD1-directed antibodies) have been approved for treatment of HCC^{3,4}, although phase III trials failed to reach their primary endpoints to increase survival^{1,10,11}. A combination of atezolizumab (anti-PDL1) and bevacizumab (anti-VEGF) demonstrated increased overall and progression-free survival in a phase III trial, making it a first-line treatment for advanced HCC⁵. The efficacy of immunotherapy might be affected by different underlying HCC aetiologies, with diverse hepatic environments distinctly regulating HCC induction and immune responses⁶. Hence, we lack biomarkers that correlate with treatment response to allow patient stratification^{12,13}. Non-alcoholic fatty liver disease (NAFLD) is an HCC-causing condition that affects more than 200 million people worldwide¹⁴. Approximately 10–20% of individuals with NAFLD progress over time from steatosis to NASH¹⁴. Innate and

adaptive immune-cell activation^{15–17}, in combination with increased metabolites and endoplasmic reticulum stress^{16,18}, are believed to lead to a cycle of hepatic necro-inflammation and regeneration that potentially leads to HCC^{19–21}. NASH has become an emerging risk factor for HCC^{1,14,19}, which led us to investigate the effects of immunotherapy in NASH–HCC^{22–24}.

Hepatic CD8⁺PD1⁺ T cells increase in NASH

We fed mice with diets that cause progressive liver damage and NASH over 3–12 months (Extended Data Fig. 1a–c), accompanied by an increase in the frequency of activated CD8⁺ T cells expressing CD69, CD44 and PD1 (Extended Data Fig. 1d–g). Single-cell mapping of leukocytes showed altered immune-cell compositions in mice with NASH (Extended Data Fig. 1h, i) with strongly increased numbers of CD8⁺PD1⁺ cells (Fig. 1a, b, Extended Data Fig. 1j–m, o). Similarly, elevated CD8⁺ and PD1⁺ cells were found in a genetic mouse model of NASH¹⁷ (Extended Data Fig. 1n). Messenger RNA in situ hybridization and immunohistochemistry showed that increasing PDL1 expression in hepatocytes and

non-parenchymal cells correlated with the severity of NASH (Extended Data Fig. 1p). Mass spectrometric characterization of CD8⁺PD1⁺ T cells from NASH-affected livers indicated enrichment in pathways involved in ongoing T cell activation and differentiation, TNF signalling, and natural killer (NK) cell-like cytotoxicity (Fig. 1c). Single-cell RNA sequencing (scRNA-seq) of cells expressing T cell receptor β -chains (TCR β) from the livers of mice with NASH showed that CD8⁺ T cells had gene expression profiles related to cytotoxicity and effector-function (for example, *Gzmk* and *Gzmm*) and inflammation markers (for example, *Ccl3*) with elevated exhaustion traits (for example, *Pdcd1* and *Tox*) (Fig. 1d, e). RNA-velocity analyses demonstrated enhanced transcriptional activity and differentiation from *Sell*-expressing CD8⁺ to CD8⁺PD1⁺ T cells (Extended Data Fig. 1q), indicating local differentiation. Thus, mice with NASH have increased hepatic abundance of CD8⁺PD1⁺ T cells with features of exhaustion and effector functions.

The high numbers of T cells in NASH suggest that anti-PD1-targeted immunotherapy may serve as an efficient therapy for NASH-HCC. Thirty per cent of C57BL/6 mice fed a choline-deficient high-fat diet (CD-HFD) for 13 months developed liver tumours with a similar load of genetic alterations to human NAFLD-HCC or NASH-HCC (Extended Data Fig. 2a, b). NASH mice bearing HCC (identified using MRI) were allocated to anti-PD1 immunotherapy or control arms (Fig. 1f). None of the pre-existing liver tumours regressed in response to anti-PD1 therapy (Fig. 1g, h, Extended Data Fig. 2c). Rather, we observed increased fibrosis, unchanged liver damage, slightly increased incidence of liver cancer and unaltered tumour loads and sizes after anti-PD1 treatment (Extended Data Fig. 2d–h). In anti-PD1-treated mice, liver tumour tissue contained increased numbers of CD8⁺PD1⁺ T cells and high levels of cells expressing *Cxcr6* or *TnfmRNA* (Extended Data Fig. 2i–n). We found no regression of NASH-induced liver tumours upon anti-PDL1 immunotherapy (Extended Data Fig. 3a–f). By contrast, other (non-NASH) mouse models of liver cancer (with or without concomitant damage) reacted to PD1 immunotherapy with tumour regression²⁵, suggesting that lack of response to immunotherapy was associated specifically with NASH-HCC (Extended Data Fig. 3g–i). Thus, NASH precluded efficient anti-tumour surveillance in the context of HCC immunotherapy. Similarly, impaired immunotherapy has been described in mouse models with NASH and secondary liver cancer^{25,26}.

CD8⁺ T cells promote HCC in NASH

As CD8⁺PD1⁺ T cells failed to execute effective immune surveillance, but rather showed tissue-damaging potential, we reasoned that CD8⁺ T cells might be involved in promoting NASH-HCC. We depleted CD8⁺ T cells in a preventive setting in mice with NASH but without liver cancer (CD-HFD fed for 10 months). CD8⁺ T cell depletion significantly decreased liver damage and the incidence of HCC in these mice (Fig. 2i, Extended Data Fig. 4a–j, n). Similar results were obtained after co-depletion of CD8⁺ and NK1.1⁺ cells (Fig. 2i, Extended Data Fig. 4a–f, n). This suggests that as well as lacking immune surveillance functions, liver CD8⁺ T cells also promote HCC in mice with NASH. Next, we investigated the effect of anti-PD1 therapy on HCC development in mice with NASH. Anti-PD1 immunotherapy aggravated liver damage (Fig. 2g, Extended Data Fig. 7c) and increased hepatic CD8⁺PD1⁺ T cells, with only minor changes in liver CD4⁺PD1⁺ T cells or other immune-cell populations (Extended Data Fig. 4a–o). Anti-PD1 immunotherapy also caused a marked increase in liver-cancer incidence, independent of changes in liver fibrosis (Fig. 2i). Mice lacking PD1 (*Pdcd1*^{-/-}) showed an increase in incidence of, and earlier onset of, liver cancer, along with increased liver damage and elevated numbers of activated hepatic CD8⁺ T cells with increased cytokine expression (IFN γ , TNF) (Extended Data Fig. 5a–g). In summary, CD8⁺PD1⁺ T cells triggered the transition to HCC in mice with NASH, probably owing to impaired tumour surveillance and enhanced T cell-mediated tissue damage²⁷. Despite a strong increase in CD8⁺PD1⁺ T cells within

tumours, therapeutic PD1- or PDL1-related immunotherapy failed to cause tumour regression in NASH-HCC.

We used an immune-mediated cancer field (ICF) gene-expression signature associated with the development of human HCC²⁸ to understand the tumour-driving mechanisms of anti-PD1 immunotherapy. Preventive anti-PD1 treatment was strongly associated with the pro-tumorigenic immunosuppressive ICF signature (for example, *Irfng*, *Tnf*, *Stat3*, *Tgfb1*), capturing the traits of T cell exhaustion, pro-carcinogenic signalling, and mediators of immune tolerance and inhibition. Depletion of CD8⁺ T cells led to significant down-regulation of the high-infiltrate ICF signature and diminished TNF in non-parenchymal cells (Extended Data Fig. 5h, i). Gene set enrichment analysis (GSEA), mRNA in situ hybridization, and histology of tumours developed in NASH mice that were treated prophylactically with anti-PD1 corroborated these data, showing increased CD8⁺ T cell abundance and enrichment for genes involved in inflammation-related signalling, apoptosis, and TGF β signalling (Extended Data Fig. 5j–l). Anti-PD1 treatment triggered the expression of p62 (Extended Data Fig. 5m), which has been shown to drive hepatocarcinogenesis²⁹. Array comparative genomic hybridization identified no significant differences in chromosomal deletions or amplifications between tumours from anti-PD1-treated mice or control mice (Extended Data Fig. 5n). In summary, hepatic CD8⁺PD1⁺ T cells did not cause tumour regression during NASH, but rather were linked to HCC development, which was enhanced by anti-PD1 immunotherapy.

We next analysed the hepatic T cell compartment for correlations with inflammation and hepatocarcinogenesis. Comparison of CD8⁺PD1⁺ T cells with CD8⁺ T cells by scRNA-seq showed that the former showed higher expression of genes associated with effector function (for example, increased *Gzma*, *Gzmb*, *Gzmk*, *Prf1*; reduced *Sell*, *Klf2*), exhaustion (for example, increased *Pdcd1*, *Tox*; reduced *Il7r*, *Tcf7*) and tissue residency (for example, increased *Cxcr6*, low levels of Ki-67) (Extended Data Fig. 6a–c). Notably, there was no difference in the transcriptome profiles of CD8⁺PD1⁺ T cells in NASH mice after anti-PD1 immunotherapy (Extended Data Fig. 6c), indicating that the number of T cells rather than their functional properties were changed. RNA-velocity blot analyses corroborated these data (Fig. 2a, Extended Data Fig. 6d–f). Similar patterns of markers (for example, *Il7r*, *Sell*, *Tcf7*, *Ccl5*, *Pdcd1*, *Cxcr6*, and *Rgs1*) correlated with latent time and overall transcriptional activity in NASH mice that received either treatment (Fig. 2a, b, Extended Data Fig. 6e, f). Mass spectrometry-based analyses of CD8⁺ or CD8⁺PD1⁺ T cells isolated from NASH mouse livers confirmed these findings (Fig. 2c, Extended Data Fig. 6g).

We characterized the transcriptome profiles of PD1⁺CD8⁺ T cells by uniform manifold approximation and projection (UMAP) analysis of high-parametric flow-cytometry data, dissecting the CD8⁺PD1⁺ and CD8⁺PD1⁻ subsets (Fig. 2d). This revealed that CD8⁺PD1⁺ cells expressed high levels of effector (for example, *Gzmb*, *Irfng*, *Tnf*) and exhaustion markers (for example, *Eomes*, *Pdcd1*, Ki-67^{low}). In particular, CD8⁺PD1⁺TNF⁺ cells were more abundant upon anti-PD1 treatment (Fig. 2e). Convolutional neural network analysis and manual gating validated this result (Fig. 2f, Extended Data Fig. 6j, k). CD8⁺PD1⁺ T cells were non-proliferative in anti-PD1-treated NASH mice; this result was supported by in vitro experiments, in which anti-PD1 treatment led to increased T cell numbers in the absence of proliferation (Extended Data Fig. 6l, m). Notably, CD8⁺PD1⁺ T cells from NASH mice showed reduced levels of FOXO1, which indicates an enhanced tissue-residency phenotype³⁰, potentially combined with boosted effector function, as indicated by higher calcium levels in CD8⁺PD1⁺ T cells (Extended Data Fig. 6n, o). Single-cell RNA-seq analysis also showed that CD8⁺PD1⁺ T cells from NASH mice had a tissue residency signature (Extended Data Fig. 6b). Thus, upon anti-PD1 immunotherapy in NASH mice, CD8⁺PD1⁺ T cells accumulated to high numbers in the liver, revealing a resident-like T cell character with increased expression of CD44, CXCR6, EOMES and TOX and low levels of CD244

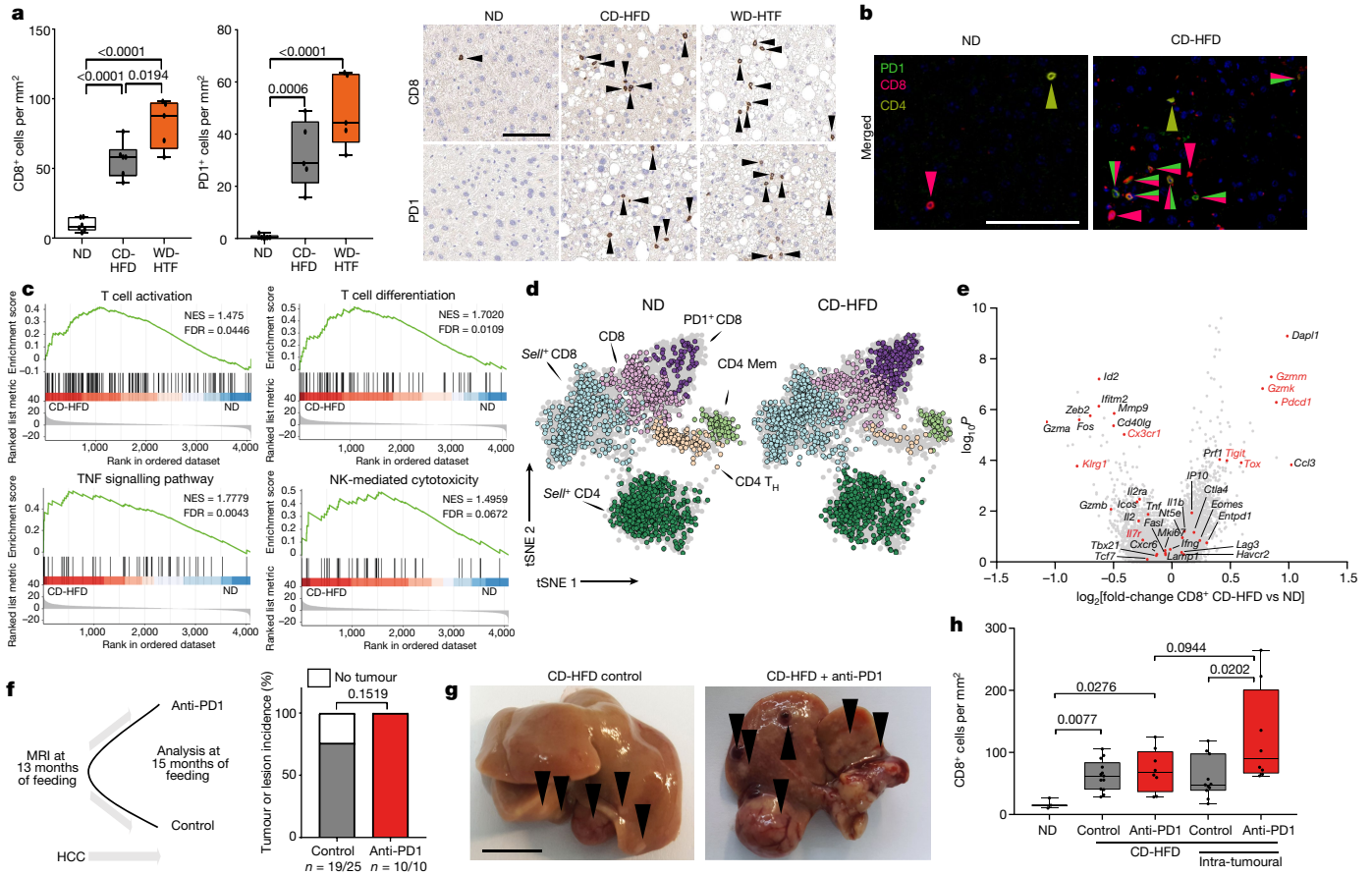


Fig. 1 | NASH progression is associated with increased, activated CD8⁺ PD1⁺ T cells. **a**, CD8 and PD1 staining (right) and quantification (left) of T cells from mice fed normal diet (ND), CD-HFD or western-style diet with trans fat (WD-HTF) by immunohistochemistry. **b**, Immunofluorescence-based detection of PD1 (green), CD8 (red) and CD4 (yellow) cells. Scale bar, 100 μ m. **c**, GSEA of hepatic CD8⁺PD1⁺ T cells sorted from TCR β ⁺ cells by mass spectrometry. FDR, false discovery rate; NES, normalized enrichment score. **d-f**, *t*-distributed stochastic neighbour embedding (tSNE) of TCR β ⁺ cells (**d**),

differential gene expression by scRNA-seq (**e**) and scheme for experiment (**f**): mice were fed CD-HFD for 13 months and then treated with anti-PD1 for 8 weeks before measurement of tumour incidence. Mem, memory CD4 T cells. **g**, Livers from treated and untreated mice after CD-HFD. Arrowheads, tumours or lesions. Scale bar, 10 mm. **h**, Quantification of CD8⁺ cell in liver by immunohistochemistry. Details of sample sizes, biological replicates and statistical tests are given in Methods and Source Data. **a, h**, *P* values shown above brackets.

expression, but lacking expression of TCF1/TCF7, CD62L, TBET, and CD127 (Extended Data Fig. 6p–u). In summary, anti-PD1 immunotherapy increased the abundance of CD8⁺PD1⁺ T cells with a residency signature in the liver.

To investigate the mechanisms that drive the increased NASH–HCC transition in the preventive anti-PD1 treatment-setting, we treated NASH-affected mice with combinations of treatments. Both anti-CD8–anti-PD1 and anti-TNF–anti-PD1 antibody treatments ameliorated liver damage, liver pathology and liver inflammation (Fig. 2g, Extended Data Fig. 7), and decreased the incidence of liver cancer compared to anti-PD1 treatment alone (Fig. 2i). By contrast, anti-CD4–anti-PD1 treatment did not reduce the incidence of liver cancer, the NAFLD activity score (NAS), or the number of TNF-expressing hepatic CD8⁺ or CD8⁺PD1⁺CXCR6⁺ T cells (Fig. 2g–i, Extended Data Fig. 7). However, both the number of tumours per liver and tumour size were reduced, suggesting that depletion of CD4⁺ T cells or regulatory T cells might contribute to tumour control (Extended Data Fig. 8a, b). The incidence of tumours was directly correlated with anti-PD1 treatment, alanine aminotransferase (ALT), NAS, number of hepatic CD8⁺PD1⁺ T cells, and TNF expression (Extended Data Fig. 8c–e). These data suggested that CD8⁺PD1⁺ T cells lacked immune-surveillance and had tissue-damaging functions²⁷, which were increased by anti-PD1 treatment, possibly contributing to the unfavourable effects of anti-PD1 treatment on HCC development in NASH.

Augmented CD8⁺PD1⁺ T cells in human-NASH

We next investigated CD8⁺ T cells from healthy or NAFLD/NASH-affected livers. In two independent cohorts of patients with NASH, we found enrichment of hepatic CD8⁺PD1⁺ T cells with a residency phenotype (by flow cytometry and mass cytometry) (Fig. 3a, b, Extended Data Fig. 9a–j, Supplementary Tables 1, 2). The number of hepatic CD8⁺PD1⁺ T cells directly correlated with body-mass index and liver damage (Extended Data Fig. 9b). To investigate similarities between mouse and human T cells from livers with NASH, we analysed liver CD8⁺PD1⁺ T cells from patients with NAFLD or NASH by scRNA-seq. This identified a gene expression signature that was also found in liver T cells from NASH mice (for example, *PDCD1*, *GZMB*, *TOX*, *CXCR6*, *RGS1*, *SELL*) (Fig. 3c, d, Extended Data Fig. 9k, l). Differentially expressed genes were directly correlated between patient- and mouse-derived hepatic CD8⁺PD1⁺ T cells (Fig. 3d). Velocity-blot analyses identified CD8⁺ T cells expressing *TCF7*, *SELL* and *IL7R* as root cells, and CD8⁺PD1⁺ T cells as their endpoints (Fig. 3e, f), indicating a local developmental trajectory of CD8⁺ T cells into CD8⁺PD1⁺ T cells. The amount of gene expression and velocity magnitude, which indicate transcriptional activity, were increased in CD8⁺PD1⁺ T cells from mice and humans with NASH (Fig. 3e). The expression of specific marker genes (for example, *IL7R*, *SELL*, *TCF7*, *CCL5*, *CCL3*, *PDCD1*, *CXCR6*, *RGS1* and *KLF2*) along the latent time in patients with NAFLD or NASH differed from that seen in control participants

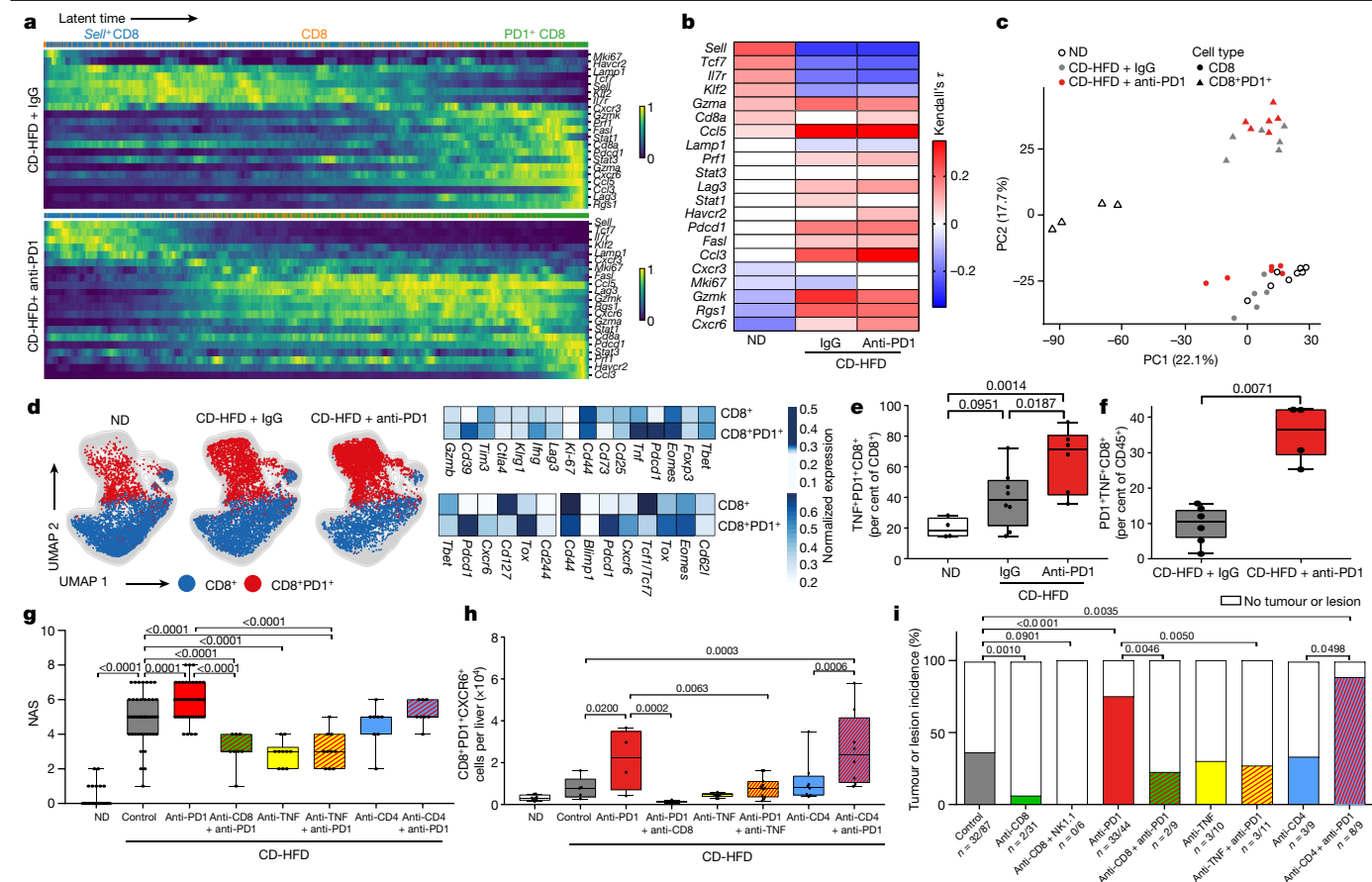


Fig. 2 | Resident-like CD8⁺PD1⁺ T cells drive hepatocarcinogenesis in a TNF-dependent manner upon anti-PD1 treatment in NASH.
a, b, RNA-velocity analyses of scRNA-seq data showing expression (**a**) and correlation of expression (**b**) along the latent time of selected genes in CD8⁺ T cells from mice with NASH. Latent time (pseudo-time by RNA velocity): dark colour, start of RNA velocity; yellow, end point of latent time. Kendall's τ , gene expression along latent time. **c**, Principal component analysis (PCA) plot of hepatic CD8⁺ and CD8⁺PD1⁺ T cells sorted by mass spectrometry from TCR β ⁺ cells from mice fed for 12 months with ND, CD-HFD or CD-HFD and treated for 8 weeks with anti-PD1 antibodies. **d, e**, UMAP representations showing FlowSOM-guided clustering (**d**, left), heat map showing median marker expression (**d**, right), and quantification of hepatic CD8⁺ T cells (**e**) from mice

fed for 12 months with ND or CD-HFD and treated for 8 weeks with IgG or anti-PD1 antibodies. **f**, Quantification of CellCNN-analysed flow cytometry data for hepatic CD8⁺ T cells from mice fed for 12 months with CD-HFD and treated for 8 weeks with IgG or anti-PD1 antibodies. **g, h**, NAS evaluation (**g**) and quantification of hepatic CD8⁺PD1⁺CXCR6⁺ T cells (**h**) from mice fed with ND for 12 months or fed with CD-HFD for 12 months and treated for 8 weeks with anti-PD1, anti-PD1 + anti-CD8, anti-TNF, anti-PD1 + anti-TNF, anti-CD4, or anti-PD1 + anti-CD4 antibodies. Kendall's τ , gene expression along latent time. **i**, Quantification of tumour incidence in mice as in **g, h**. Details of sample sizes, biological replicates and statistical tests are given in Methods and Source Data. **e–i**, *P* values shown above brackets.

(Fig. 3g), and correlated with the expression patterns seen in CD8⁺ T cells from NASH mice (Fig. 3h). Thus, scRNA-seq analysis demonstrated a resident-like liver CD8⁺PD1⁺ T cell population in patients with NAFLD or NASH that shared gene expression patterns with hepatic CD8⁺PD1⁺ T cells from NASH mice.

Different stages of NASH severity are considered to herald the development of liver cancer³¹. Indeed, different fibrosis stages (F0–F4) in patients with NASH correlated directly with the expression of *PDCD1*, *CCL2*, *IP10* and *TNF*, and the degree of fibrosis correlated with the numbers of CD4⁺, PD1⁺, and CD8⁺ T cells (Extended Data Fig. 10a–d, Supplementary Table 3). Moreover, PD1⁺ cells were absent from healthy livers but present in the livers of patients with NASH or NASH–HCC, but the number of these cells did not differ with the underlying fibrosis level (Extended Data Fig. 10e, Supplementary Tables 4–6). Species-specific effects, such as the absence in mice of cirrhosis or burnt-out NASH (a condition found in some patients with NASH–HCC³²), and their possible influence on immunotherapy may make it difficult to translate findings from preclinical models of NASH to human NASH. However, in tumour tissue from patients with NASH-induced HCC—treated with anti-PD1 therapy—we found increased numbers of intra-tumoural PD1⁺

cells compared to patients with HCC and viral hepatitis (Extended Data Fig. 10f). Thus, we found a shared gene-expression profile and increased abundance of unconventionally activated hepatic CD8⁺PD1⁺ T cells in human NASH tissue.

Lack of immunotherapy response in human NASH–HCC

To explore the concept of disrupted immune surveillance in NASH after anti-PD1 or anti-PDL1 treatment, we conducted a meta-analysis of three large randomized controlled phase III trials of immunotherapies in patients with advanced HCC (CheckMate-459¹¹, IMbrave150⁵ and KEYNOTE-240¹⁰). Although immunotherapy improved survival in the overall population (hazard ratio (HR) 0.77; 95% confidence interval (CI) 0.63–0.94), survival was superior to the control arm in patients with HBV-related HCC ($n = 574$; $P = 0.0008$) and HCV-related HCC ($n = 345$; $P = 0.04$), but not in patients with non-viral HCC ($n = 737$; $P = 0.39$) (Fig. 4a, Extended Data Fig. 10g, Supplementary Table 7). Patients with viral aetiology (HBV or HCV infection) of liver damage and HCC showed a benefit from checkpoint inhibition (HR 0.64; 95% CI 0.48–0.94), whereas patients with HCC of a non-viral aetiology

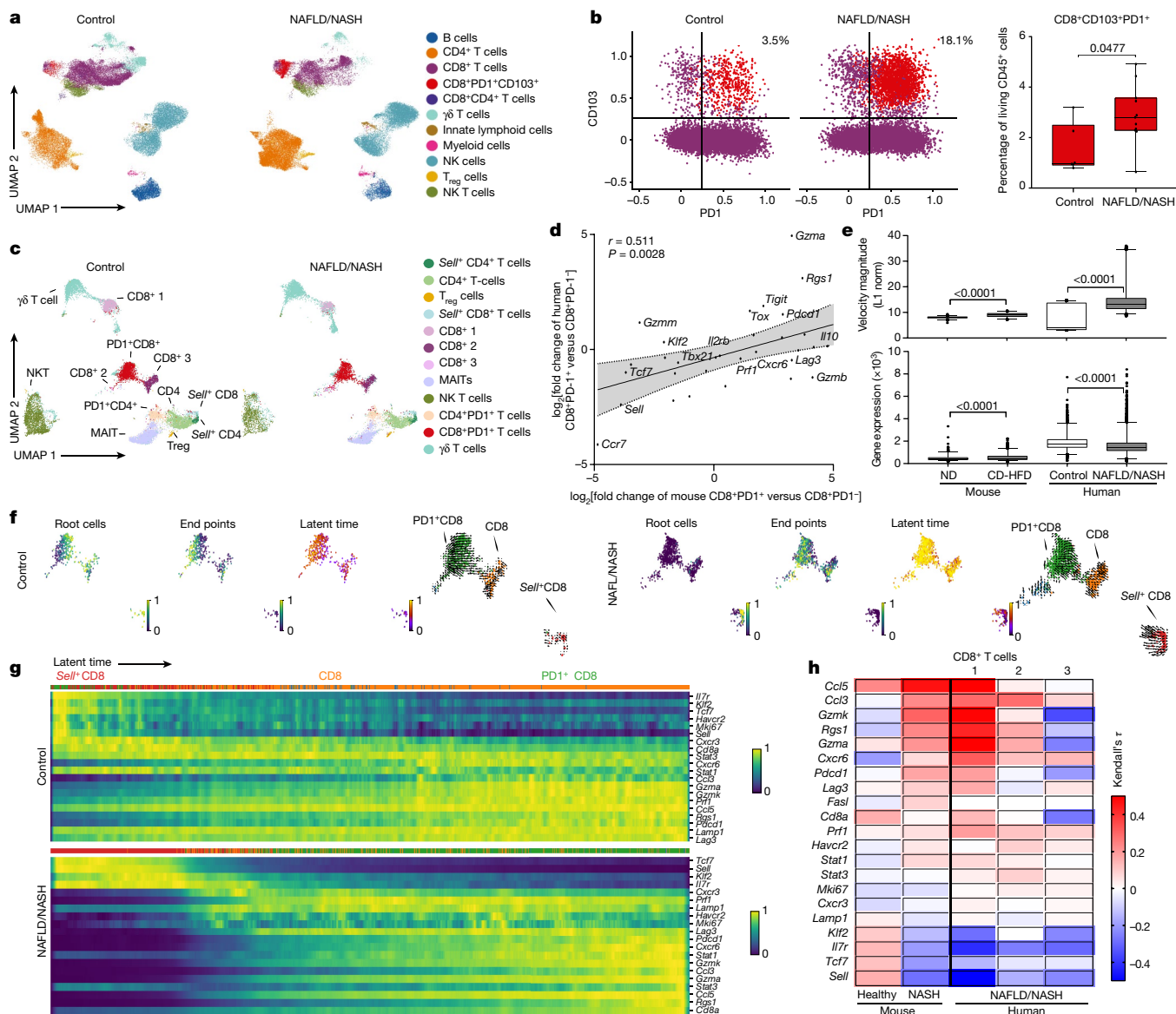


Fig. 3 | Hepatic resident-like CD8⁺PD1⁺ T cells are increased in livers of patients with NAFLD patients. **a**, UMAP representation showing the FlowSOM-guided clustering of CD45⁺ cells (**a**) and flow cytometry plots (**b**, left) and quantification (**b**, right) of CD8⁺PD1⁺CD103⁺ cells derived from hepatic biopsies of healthy individuals or patients with NAFLD or NASH (Supplementary Table 2). Populations in **b**: violet, CD8⁺; red, CD8⁺PD1⁺CD103⁺. T_{reg} cells, regulatory T cells. **c**, UMAP representations and analyses of differential gene expression by scRNA-seq of CD3⁺ cells from control individuals or patients with NAFLD or NASH. MAITs, mucosal-associated invariant T cells. **d**, Correlation of significant differentially expressed genes in liver-derived CD8⁺PD1⁺ T cells compared to CD8⁺PD1⁻ T cells from mice fed with CD-HFD for 12 months and patients with NAFLD/NASH. Shading shows 95% CI.

e–h, Expression (**e**) and transcriptional activity (**f**) of velocity analyses of scRNA-seq data, and gene expression (**g**) and correlation (**h**) of expression along the latent-time of selected genes along the latent-time of liver-derived CD8⁺ T cells from patients with NAFLD or NASH in comparison to control or NASH mouse liver-derived CD8⁺ T cells. Root cells: yellow, root cells; blue, cells furthest from the root by RNA velocity. End points: yellow, end-point cells; blue, cells furthest from defined end-point cells by RNA velocity. Latent time (pseudo-time by RNA velocity): dark colour, start of RNA velocity; yellow, end point of latent time. RNA velocity flow (top): blue cluster, start point; orange cluster, intermediate; green cluster, end point. Arrow indicates cell trajectory. Details of sample sizes, biological replicates and statistical tests are given in Methods and Source Data. **b**, **e**, *P* values shown above brackets.

did not (HR 0.92; 95% CI 0.77–1.11; *P* of interaction = 0.03 (Fig. 4a)). Subgroup analysis of first-line treatment compared to a control arm treated with sorafenib (*n* = 1,243) confirmed that immunotherapy was superior in patients with HBV-related (*n* = 473; *P* = 0.03) or HCV-related HCC (*n* = 281; *P* = 0.03), but not in patients with non-viral HCC (*n* = 489; *P* = 0.62; Extended Data Fig. 10h–j). We acknowledge that these results were derived from a meta-analysis of trials that included different lines of treatment and patients with heterogeneous liver damage, and did not differentiate between alcoholic liver disease and NAFLD or NASH. Nevertheless, the results of this meta-analysis supported the notion that stratification of patients according to the aetiology of their liver

damage and ensuing HCC identified patients who responded well to therapy.

To specifically characterize the effect of anti-PD(L)1 immunotherapy with respect to underlying liver disease, we investigated a cohort of 130 patients with HCC (patients with NAFLD *n* = 13; patients with other aetiologies *n* = 117) (Supplementary Table 8). NAFLD was associated with shortened median overall survival after immunotherapy (5.4 months (95% CI 1.8–9.0 months) versus 11.0 months (95% CI 7.5–14.5 months); *P* = 0.023), even though patients with NAFLD had less frequent macrovascular tumour invasion (23% versus 49%), and immunotherapy was more often used as a first-line therapy in these patients (46% versus 23%;

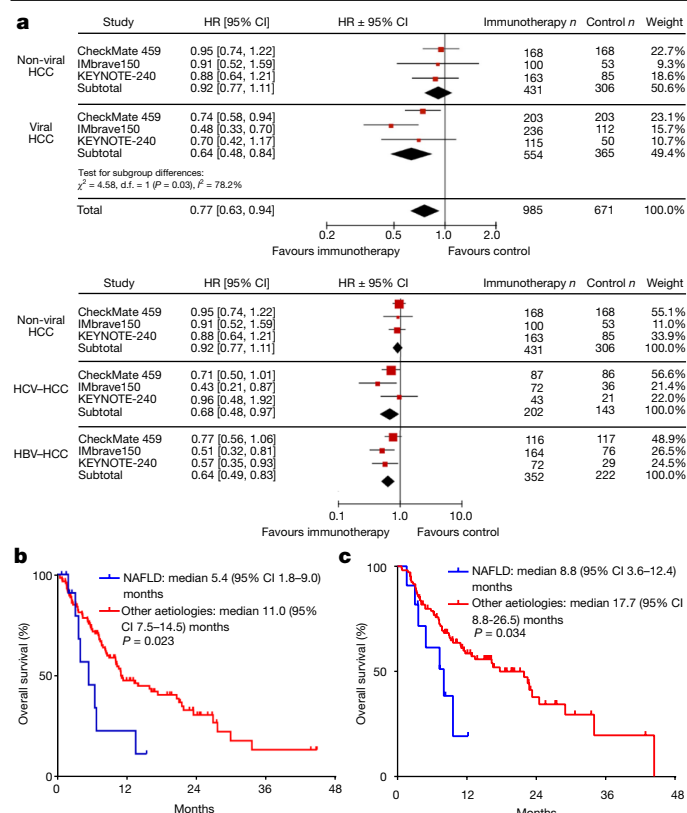


Fig. 4 | PD1 and PDL1 targeted immunotherapy in advanced HCC has a distinct effect depending on disease aetiology. **a**, Meta-analysis of 1,656 patients (Supplementary Table 7). Immunotherapy was initially assessed and then analysed according to disease aetiology: non-viral (NASH and alcohol intake) vs viral (HBV and HCV) (top). Heterogeneity: $\tau^2 = 0.00$; $\chi^2 = 0.14$, degrees of freedom (d.f.) = 2 ($P = 0.93$); $I^2 = 0\%$. Test for overall effect: $Z = 0.87$ ($P = 0.39$). Separate meta-analyses were subsequently performed for each of the three aetiologies: non-viral (NASH and alcohol intake), HCV and HBV (bottom). Heterogeneity: $\tau^2 = 0.03$; $\chi^2 = 3.67$, d.f. = 2 ($P = 0.16$); $I^2 = 46\%$. Test for overall effect: $Z = 3.13$ ($P = 0.002$). Diamonds represent estimated overall effect based on the meta-analysis random effect of all trials. Inverse variance and random effects methods were used to calculate HRs, 95% CIs, P values, and the test for overall effect; calculations were two-sided. **b**, NAFLD is associated with a worse outcome in patients with HCC treated with PD(L)I-targeted immunotherapy. A total of 130 patients with advanced HCC received PD(L)I-targeted immunotherapy (Supplementary Table 8). **c**, Validation cohort of patients with HCC treated with PD(L)I-targeted immunotherapy. A total of 118 patients with advanced HCC received PD(L)I-targeted immunotherapy (Supplementary Table 10). **b, c**, Log-rank test. Details of sample sizes, biological replicates and statistical tests are given in Methods and Source Data.

Fig. 4b). After correction for potentially confounding factors that are relevant for prognosis, including severity of liver damage, macrovascular tumour invasion, extrahepatic metastases, performance status, and alpha-fetoprotein (AFP), NAFLD remained independently associated with shortened survival of patients with HCC after anti-PD1-treatment (HR 2.6; 95% CI 1.2–5.6; $P = 0.017$, Supplementary Table 9). This finding was validated in a further cohort of 118 patients with HCC who were treated with PD(L)I-targeted immunotherapy (patients with NAFLD $n = 11$; patients with other aetiologies $n = 107$) (Supplementary Table 10). NAFLD was again associated with reduced survival of patients with HCC (median overall survival 8.8 months, 95% CI 3.6–12.4 months) compared to other aetiologies of liver damage (median overall survival 17.7 months, 95% CI 8.8–26.5 months; $P = 0.034$) (Fig. 4c). Given the relatively small number of patients with NAFLD in both cohorts, these data need prospective validation. However, collectively these

results indicate that patients with underlying NASH did not benefit from checkpoint-inhibition therapy.

Liver cancer develops primarily on the basis of chronic inflammation. The latter can be activated by immunotherapy to induce tumour regression in a subset of patients with liver cancer. However, the identification of patients who will respond to immunotherapy for HCC remains difficult. Our data identify a non-viral aetiology of liver damage and cancer (that is, NASH) as a predictor of unfavourable outcome in patients treated with immune-checkpoint inhibitors. The better response to immunotherapy in patients with virus-induced HCC than in patients with non-viral HCC might be due to the amount or quality of viral antigens or to a different liver micro-environment, possibly one that does not impair immune surveillance. These results might also have implications for patients with obesity and NALFD or NASH who have cancer at other organ sites (for example, melanoma, colon carcinoma, or breast cancer) and are at risk for liver damage and the development of liver cancer in response to systemically applied immunotherapy. Overall, our results provide comprehensive mechanistic insight and a rational basis for the stratification of patients with HCC according to their aetiology of liver damage and cancer for the design of future trials of personalized cancer therapy.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, Extended Data Fig., supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41586-021-03362-0>.

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Article

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